



PATENT  
713-11-PA

3673  
#14  
2/12/02

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s): XU, Qi et al. Conf.: 3133  
Appl. No.: 09/808,299 Group: 3673  
Filed: March 14, 2001 Examiner: SINGH, S.  
For: VORTEX-INDUCED VIBRATION REDUCTION  
DEVICE FOR FLUID IMMERSED CYLINDERS

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REQUEST TO CORRECT INFORMATION DISCLOSURE STATEMENT

CERTIFICATE of MAILING  
I hereby certify that the correspondence  
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Addressed to: Assistant Commissioner of  
Patents, Washington, DC 20231 on December 3, 2002.

Christy Hennigan  
Printed name of Person Signing

  
Signature of Person Signing

Assistant Commissioner for Patents  
Washington, DC 20231

December 3, 2002

Sir:

Attached hereto is a copy of the Information Disclosure  
Statement (IDS) filed on November 19, 2002 with the above-  
identified application. The IDS inadvertently referenced the  
incorrect application number. Please correct as follows, with the  
correction being underlined:

THE FOLLOWING CORRECTION(S) IS/ARE RESPECTFULLY REQUESTED:

Serial. No. 09/808,229

Serial. No. 09/808,299

It is respectfully requested that the U.S. Patent and  
Trademark Office consider the correction(s) indicated above.

Support for the correction(s) is readily apparent on the enclosed photocopy of the original submitted IDS.

Copies of the cited references are submitted herewith.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 11-1159 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

KLEIN, O'NEILL & SINGH, LLP

By 

Howard J. Klein

KLEIN O'NEILL & SINGH, LLP  
2 Park Plaza Suite 510  
Irvine, Ca 92614  
(949) 955-1920

HJK/clh  
713-11-PA

Attachment(s): Information Disclosure Statement (copy)  
Postcard (copy)  
References (3)

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
713-11-PA

In Re Application Of: XU, Qi et al.

Serial No.  
09/808,229

Filing Date  
March 14, 2001

Examiner  
SINGH, S.

Group Art Unit  
3673

Title: **VORTEX-INDUCED VIBRATION REDUCTION DEVICE FOR FLUID IMMERSED CYLINDERS**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
713-11-PA

In Re Application: **QIU, Qi et al.**

DEC 11 2002

Serial No.  
09/808,422

Filing Date  
March 14, 2001

Examiner  
SINGH, S.

Group Art Unit  
3673

**VORTEX-INDUCED VIBRATION REDUCTION DEVICE FOR FLUID IMMERSED CYLINDERS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 11-1159 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature of Person Mailing Correspondence

Christy Hennigan

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Howard J. Klein Reg. No. 28,727

KLEIN, O'NEILL & SINGH, LLP  
2 Park Plaza Suite 510  
Irvine, Ca 92614  
(949) 955-1920

Dated: November 19, 2002

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CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
713-11-PA

In Re Application Of: XU, Qi et al.

Serial No.  
09808,229

Filing Date  
March 14, 2001

Examiner  
SINGH, S.

Group Art Unit  
3673

Invention: **VORTEX-INDUCED VIBRATION REDUCTION DEVICE FOR FLUID IMMERSSED CYLINDERS**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

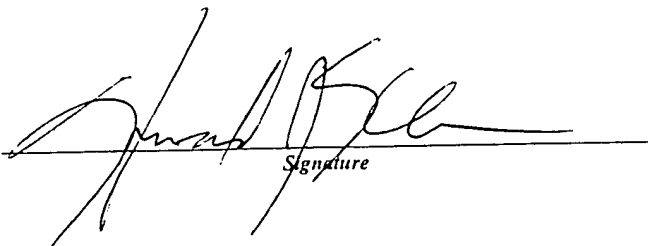
Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

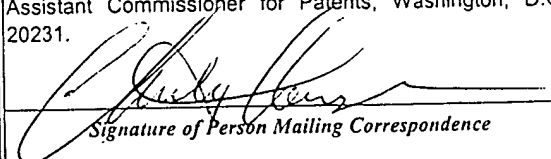
  
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Signature of Person Mailing Correspondence

**Christy Hennigan**

Typed or Printed Name of Person Mailing Correspondence

CC:



**RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No.: 713-11-PA

Inventor: XU, Qi et al.

Appl. No. 09/808,299

Filed: March 14, 2001

For: VORTEX-INDUCED VIBRATION REDUCTION DEVICE FOR FLUID  
IMMERSED CYLINDERS

Filing an Information Disclosure Statement (4 pages), and references (3).

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